

COPY

PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration Submitted with Initial Filing

OR

☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number DB000975-000

First Named Inventor Richard Lunak

COMPLETE IF KNOWN

Application Number 09 / 998,488

Filing Date 11/30/2001

Group Art Unit 3754

Examiner Name Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**CAROUSEL PRODUCT FOR USE IN INTEGRATED RESTOCKING AND
DISPENSING SYSTEM**

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 11/30/2001

as United States Application Number or PCT International

Application Number 09/998,488

and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

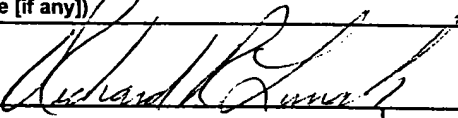
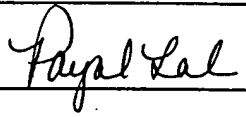
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/>		Customer Number or Bar Code Label		OR <input type="checkbox"/> Correspondence address below	
Name					
Address					
City		State		ZIP	
Country		Telephone		Fax	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>					
NAME OF SOLE OR FIRST INVENTOR :				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])			Family Name or Surname		
Richard			Lunak		
Inventor's Signature				Date	
				2/4/02	
Residence: City		State		Country	
Pittsburgh		PA		US	
Mailing Address					
129 Laurelwood Drive					
City		State		Country	
Pittsburgh		PA		US	
ZIP		15237			
NAME OF SECOND INVENTOR:					
				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])			Family Name or Surname		
Payal			Lal		
Inventor's Signature				Date	
				2/4/02	
Residence: City		State		Country	
Pittsburgh		PA		US	
Mailing Address					
7074 Bennington Woods Drive					
City		State		Country	
Pittsburgh		PA		US	
ZIP		15237			
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

Please type a plus sign (+) inside this box → +

PTO/SB/02A (11-00)

Approved for use through 10/31/2002... OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

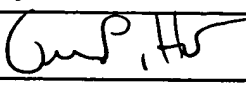
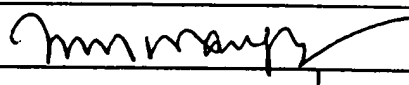
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION

ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page 1 of 1

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Gregory		Hart	
Inventor's Signature 		Date <u>2/4/2002</u>	
Residence: City Sarver	State PA	Country US	Citizenship US
Mailing Address 120 Woodhaven Road			
Mailing Address			
City Sarver	State PA	ZIP 16055	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Manoj		Wangu	
Inventor's Signature 		Date <u>2/4/2002</u>	
Residence: City Wexford	State PA	Country US	Citizenship US
Mailing Address 301 High Oaks Court			
Mailing Address			
City Wexford	State PA	ZIP 5090	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES
PATENT AND
TRADEMARK OFFICE

COPY

MAY 02 2002

MAY 02, 2002

PTAS

Commissioner for Trademarks
Arlington, VA 22202-3513
www.uspto.gov

THORP REED & ARMSTRONG, LLP
EDWARD L. PENCOSKE, ESQ.
301 GRANT ST.
PITTSBURGH, PA 15219-1425

DOCKETED
5/13/02 sh



102062063A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/20/2002

REEL/FRAME: 012646/0923
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
LUNAK, RICHARD

DOC DATE: 02/04/2002

ASSIGNOR:
LAL, PAYAL

DOC DATE: 02/04/2002

ASSIGNOR:
HART, GREGORY

DOC DATE: 02/04/2002

ASSIGNOR:
WANGU, MANOJ

DOC DATE: 02/04/2002

ASSIGNEE:
MCKESSON AUTOMATION, INC.
700 WATERFRONT DRIVE
PITTSBURGH, PENNSYLVANIA 15222

SERIAL NUMBER: 09998488
PATENT NUMBER:

FILING DATE: 11/30/2001
ISSUE DATE:

012646/0923 PAGE 2

KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECORDATION FORM COVER SHEET

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard Lunak

Payal Lal

Gregory Hart

Manoj Wangu

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

02/04/2002

Execution Date: _____

2. Name and address of receiving party(ies)

Name: McKesson Automation, Inc.

Internal Address: _____

Street Address: 700 Waterfront Drive

City: Pittsburgh State: PA Zip: 15222

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/998,488

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Edward L. Pencoske, Esq.

Internal Address: Thorp Reed & Armstrong, LLP

Street Address: 301 Grant St.

City: Pittsburgh State: PA Zip: 15219-1425

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

20-0888

DO NOT USE THIS SPACE

9. Signature.



Name of Person Signing

Edward L. Pencoske

Signature

20 February 2002

Date

Total number of pages including cover sheet, attachments, and documents: ☐ 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

Attorney's Docket No.

DB000975-000

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☒ PCT Application
By: ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration;

ASSIGNOR:

Inventor(s) or person(s) or
entity(ies) who own the
invention

Richard Lunak

(type or print name(s) of ASSIGNOR(S))

129 Laurelwood Drive

Address

Pittsburgh, PA 15237

U.S.

Nationality

U.S.

Nationality

Payal Lal

(type or print name(s) of ASSIGNOR(S))

7074 Bennington Woods Drive

Address

Pittsburgh, PA 15237

U.S.

Nationality

U.S.

Nationality

Gregory Hart

(type or print name(s) of ASSIGNOR(S))

120 Woodhaven Road

Address

Sarver, PA 16055

U.S.

Nationality

U.S.

Nationality

Manoj Wangu

(type or print name(s) of ASSIGNOR(S))

301 High Oaks Court

Address

Wexford, PA 15090

U.S.

Nationality

U.S.

Nationality

(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

McKesson Automation Inc.

(type or print name(s) of ASSIGNEE(S))

700 Waterfront Drive

Address

Pittsburgh, PA 15222

and the successors, assigns and legal representatives of the ASSIGNEE
(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (_____ %) interest for the
United States and its territorial possession

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority, in and to
any and all improvements which are disclosed in the invention entitled:

CAROUSEL PRODUCT FOR USE IN INTEGRATED RESTOCKING AND
DISPENSING SYSTEM

Name of inventor(s) Richard Lunak, Payal Lal, Gregory Hart, Manoj Wangu

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in

(a) ☐ U.S. patent application executed on even date herewith

- (b) ☐ U.S. patent application executed on _____
- (c) ☐ U.S. provisional application naming the above inventor(s) for the above entitled invention.
☐ Express mail label no. _____
Mailed: _____
- ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
- (d) ☒ U.S. application no. 09/998,488
filed on 30 November 2001
- (e) ☐ International application no. _____
- (f) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letter Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this _____ day of _____

Date of Signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date:

2/4/02

Date:

2/4/02

Date:

2/4/02

Date:

2/4/2002

Signature of ASSIGNOR(S)

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

Note: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.